



09/868094 PCT

JC03 Rec'd PCT/PTO 14 JUN 2001

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 0480/01203

DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP 99/09989	16 December 1999	16 December 1998

TITLE OF INVENTION: HOMER A NEW TARGET OF TREATING PSYCHIATRIC DISORDERS

APPLICANT(S) FOR DO/EO/US Frabuscui GARCIA-LADONA, Sandra LANG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. / / This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. /X/ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. /X/ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. /X/ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. / / has been transmitted by the International Bureau.
    - c. / / is not required, as the application was filed in the United States Receiving Office (RO/USO).
  6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. / / Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
    - a. / / are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. / / have been transmitted by the International Bureau.
    - c. / / have not been made; however, the time limit for making such amendments has NOT expired.
    - d. / / have not been made and will not be made.
  8. / / A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
  9. / / An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
  10. / / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. / / An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. / / An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. / / A FIRST preliminary amendment.  
/ / A SECOND or SUBSEQUENT preliminary amendment.
  14. / / A substitute specification.
  15. / / A change of power of attorney and/or address letter.
  16. /X/ Other items or information.  
International Search Report  
International Preliminary Examination Report

09/868094

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U.S. Appl. No. (If Known) INTERNATIONAL APPLN. NO.  
PCT/EP99/09989ATTORNEY'S DOCKET NO.  
0460/01203

		CALCULATIONS		PTO USE ONLY	
17. /X/ The following fees are submitted					
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):					
Search Report has been prepared by the					
EPO or JPO.....	\$860.00		\$860.00		
International preliminary examination fee paid to USPTO					
(37 CFR 1.482).....	\$750.00				
No international preliminary examination fee paid to					
USPTO (37 CFR 1.482) but international search fee paid					
to USPTO (37 CFR 1.445(a)(2)).....	\$700.00				
Neither international preliminary examination fee					
(37 CFR 1.482) nor international search fee					
(37 CFR 1.445(a)(2)) paid to USPTO .....	\$970.00				
International preliminary examination fee paid to					
USPTO (37 CFR 1.482) and all claims satisfied pro					
-visions of PCT Article 33(2)-(4).....	\$96.00				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$</b>	<b>860.00</b>		
Surcharge of \$130.00 for furnishing the oath or declaration					
later than / / 20 / 30 months from the earliest					
claimed priority date (37 CFR 1.492(e)).					
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>		
Total Claims	29 -20	9	X\$18.	162.00	
Indep. Claims	9 -3	6	X\$80.	480.00	
Multiple dependent claim(s) (if applicable)		+270.			
<b>TOTAL OF ABOVE CALCULATION</b>			<b>=</b>	<b>1,502.00</b>	
Reduction of 1/2 for filing by small entity, if applicable.					
Verified Small Entity statement must also be filed					
(Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL</b>			<b>=</b>	<b>1,502.00</b>	
Processing fee of \$130. for furnishing the English					
translation later than / / 20 / 30 months from the					
earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE</b>			<b>=</b>	<b>1,602.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)).					
The assignment must be accompanied by an appropriate cover					
sheet (37 CFR 3.28, 3.31) \$40.00 per property					
<b>TOTAL FEES ENCLOSED</b>			<b>=</b>	<b>\$ 1,602.00</b>	
Amount to be					
refunded: \$					
Charged \$					

a./X/ A check in the amount of \$ 1,502. to cover the above fees is enclosed.

b./I/ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c./X/ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0346. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO:**KEIL & WEINKAUF  
1101 Connecticut Ave., N.W.  
Washington, D. C. 20036

SIGNATURE

Herbert B. Keil

NAME

Registration No. 18,967



09868094 PCT/PTO 10 OCT 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Garcia-Ladona et al.

Serial No. 09/868,094

Filed: June 14, 2001

For: HOMER A NEW TARGET OF TREATING PSYCHIATRIC DISORDERS

BOX SEQUENCE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

Date of Deposit: October 2, 2001  
Person Making Deposit: Herbert B. Keil  
Signature: *Herbert B. Keil*  
Date of Signature: October 2, 2001

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT  
AND  
RESPONSE TO NOTICE OF MISSING REQUIREMENTS

Sir:

In response to the Notice of Missing Requirements, attached please find an executed declaration for the above-identified application. Also attached is the assignment for recordation. A check for \$170.00 is attached.

In response to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, a copy of the Sequence Listing in computer readable form is attached hereto. The content of the paper copy of the Sequence Listing and the copy of the Sequence Listing in computer readable form is the same, and includes no new matter.

10/17/2001 UEDUVIJE 00000020 09868094

01 FC:154

130.00 0P

**CLEAN VERSION OF AMENDMENTS**

Add the sequence listing as separate pages 1-7 attached hereto.

Amend the paragraph on page 3, line 40 to page 4, line 12, as follows:

Oligonucleotide sequences 40 base length were selected from the homer rat gene sequence published in the GENE BANK (accession number: U92079). Antisense oligonucleotide homerAT (5'-CTCGAGTGCCTGAAGATAGGTTGTTCCCCATTTTG-CCCA-3') (SEQ ID NO: 1) was complementary to bases 559 to 599. Antisense oligonucleotide homerBT (5'-GTTCCATCTTCTCCT-GCGACTTCTCCTTTCAGCCGAGC-3') (SEQ ID NO:2) was complementary to bases 894-934. The corresponding sense oligonucleotide (homerAS and homer BS) were used as control probes. Synthesis was performed in a 395 Applied Biosystems DNA Synthesizer. Purified oligonucleotides were dissolved in DEPC-treated water and stored at -30°C until use. Labelling of synthetic oligoprobes was performed using a deoxynucleotidyl transferase (TdT) labeling kit (NEP-100, NEN, Bad-Homburg, Germany). Briefly, 5 pmol of probe were incubated (2 hr) with TdT (36 units) in presence of 50 pmol of [<sup>35</sup>S] dATP (NEN) and Cl<sub>2</sub>Co. The reaction was stopped and the labelled oligonucleotides purified by column chromatography. Labelling efficacy was checked by paper chromatography in phosphate buffer system.

Amend pages 18 and 19 as shown on the attached pages.

## Appendix 1

Sequence of homer gene amplified from HEL mRNA and its corresponding amino acid sequence

A CTCGAGCTCA TGTCTTCCAA ATTGACCCAA ACACAAAGAA GAACTGGGTA  
 CCCACCAACA AGCATGCAGT TACTGTGTCT TATTTCTATG ACAGCACAAG AAATGTGTAT  
 AGGATAATCA GTTTAGATGG CTCAAAGGCA ATAATAAATA GTACCATCAC CCCAAACATG  
 ACATTTACTA AAACATCTCA GAAGTTTGGC CAGTGGGCTG ATAGCCGGGC AAACACCGTT  
 TATGGATTGG GATTCTCTCT TGAGCATCAT CTTTCGAAAT TTGCAGAAAA GTTTCAGGAA  
 TTTAAAGAAG CTGCTCGACT AGCAAAGGAA AAATCACAAG AGAAGATGGA ACTTACCAGT  
 ACACCTTCAC AGGAATCCGC AGCGGGGGAT CTTCACTCTC CTTTAAACACC GAAAGTA (SEQ ID  
 NO:3)

STRAHVQID FNTKKNVPT SKHAVTVSYF YDSTRNVYRI ISLDGSKAII NSTITPNMTF  
 TKTSQKFGQW ADSRANTVYG LGFSSEHHL KFAEFQEFK EAARLAKEKS QEKMLTSTP  
 SQESAGGDLQ SPLTPKVXG (SEQ ID NO:4)

## Appendix 2 Homer gene sequence amplified from U87 mRNA and its corresponding amino acid sequence

A TGGGGGAGCA ACCTATCTTC AGCACTCGAG CTCATGTCTT CCAAATTGAC  
 CCAACACCAA AGAAGAACTG GGTACCCACC AGCAAGCATG CAGTTACTGT GTCTTATTTT  
 TATGACAGCA CAAGAAATGT GTATAGGATA ATCAGTTTAG ATGGCTCAAA GGCAATAATA  
 AATAGTACCA TCACCCCAAA CATGACATTT ACTAAAACAT CTCAGAAGTT TGGCCAGTGG  
 GCTGATAGCC GGGCAAAACAC CGTTTATGGA TTGGGATTTCT CCTCTGAGCA TCATCTTTTC  
 AAATTTTCAG AAAAGTTTCA GGAATTTTAA GAAAGCTGCT GACTAGCAAA GGAAATAATA  
 CAAGAGAAGA TGAACCTTAC CAGTACACCT TCACAGGAAT CCGCAGGCGG GGATCTTCAG  
 TCTCCTTTAA CACCAGAAAG TA (SEQ ID NO:5)

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL DGSKAIIINST  
 ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHHLKFA EKFQEFKEAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSP LTPES (SEQ ID NO:6)

## Appendix 3. Homer gene sequence amplified from rat astrocyte mRNA and its corresponding amino acid sequence

ATGGGGGA ACAACCTATC TTCAGCACTC GAGTCATGT CTTCCAGATC GACCCAAACA  
 CAAGAAGAA CTGGGTATCC ACCAGCAAGC ATGCAGTTAC TGTGTCTTAT TTCTATGACA  
 GCACAGGAA TGTGTATAGG ATAATCAGTC TAGACGGCTC AAAGGCAATA ATAAATAGCA  
 CCATCACTCC AAACATGACA TTTACTAAAA CATCTCAAAA GTTTGGCCAA TGGGCTGATA  
 GCGGGGCAAA CACTGTTTAT GGACTGGGAT TCTCCTCTGA GCATCATCTC TCAAAATTTG  
 CAGAAAAGTT TCAGGAATTT AAAGAAGCTG CTCGGCTGGC AAAGGAGAGG TCGCAGGAGA  
 AGATGGAAC TACCACTACC CTTTCACAGG AATCAGCAGG AGGAGATCTT CAGTCTCCTT  
 TAACACCAGA (SEQ ID NO:7)

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL DGSKAIIINST  
 ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHHLKFA EKFQEFKEAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSP LTP (SEQ ID NO:8)

Appendix 4 Homer gene sequence amplified from CHO cells mRNA and its corresponding amino acid sequence

```

TTCAGCACTC GAGCTCATGT CTTCCAGATT GACCCAAACA CAAAGAAGAA CTGGGTACCC
ACCAGCAAGC ATGCAGTTAC TGTATCTTAT TTTTATGACA GCACAAGAAA TGTATATAGG
ATAATCAGTT TAGATGGCTC AAAGGCAATA ATAAATAGCA CCATCACTCC AAACATGACA
TTTACTAAAA CATCTCAAAA GTTGGCCAG TGGGCTGATA GCCGGGCAAA TACTGTTTAT
GGATTGGGAT TCTCCTCTGA GCATCATCTT TCCAAATTTG CAGAAAAGTT TCAGGAATTT
AAAGAAGCTG CTCGTCCTGC AAAGGAGAAG TCACAGGAGA AGATGGAAct GACCACTACA
CCTTCACAGG AATCAGCAGG TGGAGATCTT CAGTCTCCTT TAACACCGAA AGGT (SEQ ID

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NO:9)

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FSTRAHVFQI DPNTKKNWVP TSKHAVTVSY FYDSTRNVYR IISLDGSKAI INSTITPNMT
FTKTSQKFGW WADSRANTVY GLGFSSEHHL SKFAEKQEF KEAARLAKEK SQEKMELTST
PSQESAGGDL QSPLTPKG (SEQ ID NO:10)

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REMARKS

It is believed that by submitting the present amendment and sequence listing diskette, the application now fully complies with the requirements of 37 CFR 1.821-1.825. Favorable action by the examiner is solicited.

Please charge any shortage in fees due in connection with the filing of this paper, including Extension of Time fees to Deposit Account No. 11-0345. Please credit any excess fees to such deposit account.

Respectfully submitted,

KEIL & WEINKAUF



Herbert B. Keil  
Reg. No. 18,967

1101 Connecticut Ave., N.W.  
Washington, D.C. 20036  
(202)659-0100

HBK/DSK/kas

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

Add the sequence listing as separate pages 1-7 attached hereto.

Amend the paragraph on page 3, line 40 to page 4, line 12, as follows:

[Olygonucleotide] Oligonucleotide sequences 40 base length were selected from the homer rat gene sequence published in the GENE BANK (accession number: U92079). Antisense oligonucleotide homerAT (5'-CTCGAGTGCTGAAGATAGGTTGTTCCCCCATTTTG-CCCA-3') (SEQ ID NO: 1) was complementary to bases 559 to 599. Antisense oligonucleotide homerBT (5'-GTTCCATCTTCTCCT-GCGACTTCTCCTTTGCCAGCCGAGC-3') (SEQ ID NO:2) was complementary to bases 894-934. The corresponding sense oligonucleotide (homerAS and homer BS) were used as control probes. Synthesis was performed in a 395 Applied Biosystems DNA Synthesizer. Purified oligonucleotides were dissolved in DEPC-treated water and stored at -30°C until use. Labelling of synthetic oligoprobes was performed using a deoxynucleotidyl transferase (TdT) labeling kit (NEP-100, NEN, Bad-Homburg, Germany). Briefly, 5 pmol of probe were incubated (2 hr) with TdT (36 units) in presence of 50 pmol of [<sup>32</sup>S] dATP (NEN) and Cl<sub>2</sub>Co. The reaction was stopped and the labelled oligonucleotides purified by column chromatography. Labelling efficacy was checked by paper chromatography in phosphate buffer system.

Amend pages 18 and 19 as shown on the attached pages.



## Appendix 1

Sequence of homer gene amplified from HEL mRNA and its [correspondign aminoacid] corresponding amino acid sequence

A CTCGAGCTCA TGTCTTCCAA ATTGACCCAA ACACAAAGAA GAACTGGGTA  
 CCCACCAGCA AGCATGCAGT TACTGTGTCT TATTTCTATG ACAGCACAAAG AAATGTGTAT  
 AGGATAATCA GTTTAGATGG CTCAAAGGCA ATAATAAATA GTACCATCAC CCCAAACATG  
 ACATTACTA AAACATCTCA GAAGTTTGGC CAGTGGGCTG ATAGCCGGGC AAACACCGTT  
 TATGGATTGG GATTCTCCTC TGAGCATCAT CTTTCGAAAT TTGCAGAAAA GTTTCAGGAA  
 TTTAAAGAAG CTGCTCGACT AGCAAAGGAA AAATCACAAG AGAAGATGGA  
 ACTTACCAGT  
 ACACCTTCAC AGGAATCCGC AGGCGGGGAT CTTCACTCTC CTTTAACACC GAAAGTA  
 (SEQ ID NO:3)

STRAHVQID FNTKKNWVPT SKHAVTVSYF YDSTRNVYRI ISLDGSKALI NSTITPNMTF  
 TKTSQKFGQW ADSRANTVYG LGFSSEHLS KFAEFQEFK EAARLAKEKS QEKMELTSTP  
 SQESAGGDLQ SPLTPKVXG (SEQ ID NO:4)

Appendix 2 Homer gene sequence amplified from U87 mRNA and its  
 corresponding [aminoacid] amino acid sequence

A TGGGGGAGCA ACCTATCTTC AGCACTCGAG CTCATGTCTT CCAAAATTGAC  
 CCAACACAA AGAAGAACTG GGTACCCACC AGCAAGCATG CAGTTACTGT GTCTTATTTT  
 TATGACAGCA CAAGAAATGT GTATAGGATA ATCAGTTTAG ATGGCTCAAA GGCAATAATA  
 AATAGTACCA TCACCCCAAA CATGACATTT ACTAAACAT CTCAGAAAT TTGCCCATGG  
 GCTGATAGCC GGGCAACAC CGTTTATGGA TTGGGATCTT CCTCTGAGCA TCATCTTTTG  
 AAATTTGCAG AAAAGTTTCA GGAATTTAAA GAAGCTGCTC GACTAGCAAA GGAAAAATCA  
 CAAGAGAAGA TGGAACTTAC CAGTACACCT TCACAGGAAT CCGCAGGCGG GGATCTTCAG  
 TCTCCTTTAA CACCAGAAAG TA (SEQ ID NO:5)

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL  
 DGSKAIINST

ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHLSKFA EKQEFKEAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSPL TPES (SEQ ID NO:6)

Appendix 3. Homer gene sequence amplified from rat astrocyte mRNA and  
 its corresponding amino acid sequence

ATGGGGGA ACAACCTATC TTCAGCACTC GAGTCATGT CTTCCAGATC GACCCAAACA  
 CAAGAAGAA CTGGGTACCC ACCAGCAAGC ATGCAGTTAC TGTGTCTTAT TTCTATGACA  
 GCACAAGGAA TGTGATAGG ATAATCAGTC TAGACGGCTC AAAGGCAATA ATAAATAGCA  
 CCATCACTCC AAACATGACA TTACTAAAA CATCTCAAAA GTTTGGCCAA TGGGCTGATA  
 GCCGGGCAAA CACTTTTAT GGACTGGGAT TCTCCTCTGA GCATCATCTC TCAAAATTTG  
 CAGAAAAATT TCAGGAATTT AAAGAAGCTG CTCGGCTGGC AAAGAGAGA TCACAGGAGA  
 AGATGGAAT GACCACTACC CCTTCACAGG AATCAGCAGG AGGAGATCTT CAGTCTCTT  
 TAACACCAGA (SEQ ID NO:7)

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL DGSKAIINST  
 ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHLSKFA EKQEFKEAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSPL TP (SEQ ID NO:8)

Appendix 4 Homer gene sequence amplified from CHO cells nRNA and its corresponding amino acid sequence

```

TTCAGCACTC GAGCTCATGT CTTCAGATT GACCCAAACA CAAAGAAGAA CTGGGTACCC
ACCAGCAAGC ATGCAGTTAC TGTATCTTAT TTTTATGACA GCACAAGAAA TGTATATAGG
ATAATCAGTT TAGATGGCTC AAAGGCAATA ATAAATAGCA CCATCACTCC AAACATGACA
TTTACTAAAA CATCTCAAAA GTTTGGCCAG TGGGCTGATA GCCGGGCAAA TACTGTTTAT
GGATTGGGAT TCTCCTCTGA GCATCATCTT TCCAAATTG CAGAAAAGTT TCAGGAATTT
AAAGAAGCTG CTCGTCTTGC AAAGGAGAAG TCACAGGAGA AGATGGAAC TACCAGTACA
CCTTCACAGG AATCAGCAGG TGGAGATCTT CAGTCTCCTT TAACACCGAA AGGT (SEQ ID NO:9)

FSTRAHVFQI DPNTKKNWVP TSKHAVTVSY FYDSTRNVYR IISLDGSKAI INSTITPNMT
FTKTSQKFGW WADSRANTVY GLGFSSEHHL SKFAEKQPEF KEAARLAKEK SQEKMELTST
PSQESAGGDL QSPLTPKG (SEQ ID NO:10)

```

Homer a new target of treating psychiatric disorders

- Schizophrenia is a chronic psychiatric disorder affecting approximately 1% of the adult population. The economic and social cost of schizophrenia and other psychotic disorders are considerable due to the large index of hospitalization. The real causes of schizophrenia remains still unknown. The symptoms, classified as positive (hallucinations) and negative (social withdrawal, paranoia) may be observed in some cases as early as in adolescence. Schizophrenic patients suffer a progressive degradation of mood, thought and cognition processes (Wright I. and Woodruff P., 1995). Compounds with a beneficial effect on the treatment of schizophrenia or psychosis have been so-called neuroleptics. Early studies suggested that an alteration of the brain dopaminergic system may be related to schizophrenic and psychotic symptoms. Although the alteration of dopaminergic function in the brain of schizophrenics is evident, whether the onset of the disease is due to this alteration or it is only a delayed consequence of the disorder remains unknown. An intense research has been developed regarding the brain dopaminergic system and the pharmacology of dopamine receptors. Typical antipsychotics, such as haloperidol, with a strong therapeutic effect on the treatment of psychosis have high affinity to D2 dopamine receptors (Seeman 1987). However, this property is associated to a high incidence of extrapyramidal side effects in most of the cases in an irreversible form (Gratz S.S. and Simpson G.M. 1994; Ebadi M. and Srinivasan S.K. 1995). In addition, haloperidol have also high affinity for sigma receptors supporting them as a therapeutic target for the treatment of psychosis (Reynolds G.P. and Czudek C. 1995). Drugs specific for other brain receptors have been also proposed as antipsychotics (Fatemi H. et al., 1996). Atypical antipsychotics with mixed pharmacological profile, like clozapine, has been very useful for an effective and safer treatment of psychosis. However, to date, no fully efficient treatment have been found for the treatment of neither psychosis nor neuroleptic malignant syndrome.

- It has been demonstrated that dopamine receptor blockade after acute treatment with neuroleptics induces genomic responses in brain (Deutch A.Y. 1996). In particular, transcription factors c-fos and c-jun are rapidly overexpressed and translocated to the cell nuclei leading to further genomic regulation processes. The effect seems to be related to antagonism at dopamine D1- and D2-receptors. The genomic response induced by neuroleptics may be involved not only to their beneficial effects of antipsychotics but also to their undesirable side effects.

## 2

The knowledge of gene expression changes induced by neuroleptics may help to understand both the beneficial and side effects of antipsychotic drugs and therefore also to define new and more effective targets for the treatment of schizophrenia and 5 psychosis. The aim of the invention was to disclose genomic effects induced by neuroleptics and subsequently to identify new targets for the treatment of psychotic and neurodegenerative disorders.

- 10 Recently it has been shown that gene expression of a synaptic protein (homer) can be up-regulated by different stimulus such treatment with the neurostimulant cocaine, seizures or brain synaptic activity particularly during the development (Brakeman P.R. et al., 1997). Homer protein is new 28 kd synaptic protein
- 15 which coding gene has been sequenced (Ozawa K.A. et al., 1997; Brakeman P.R. et al., 1997). The amino acid sequence contains a PDZ-domain. Homer protein shares only a 10% homology with other members of the PDZ-family thus establishing a putative new group. Homer protein is able to interact with the
- 20 intracytoplasmic part of metabotropic glutamate receptor proteins mGluR1A and mGluR5 (Brakeman P.R. et al., 1997). These excitatory aminoacid receptors are coupled to excitotoxic mechanisms in brain (Knöpfel T. and Gasparini F. 1996). The precise role of homer in the central nervous system is not yet elucidated.
- 25 However, the fact that homer protein contains a PDZ domain strongly suggests, as for other proteins containing such domain, the possibility of interaction with other cellular proteins involved in cell signaling systems (Pointing C.P. and Phillips C., 1995) and not only to metabotropic receptors.

- 30 The present invention provides the identification of cell systems useful for the study of homer function and as tools for the discovery of new therapeutic compounds related to this protein. The present invention provides human homer gene sequences.

- 35 The present invention provides the evidence that homer gene expression can be up regulated in vivo by the treatment with haloperidol.

- 40 The present invention also provides the partial sequence of rat and chinese hamster homer gene and the evidence that homer protein is also expressed by astrocytes.

- The present invention regards the effects of antipsychotic
- 45 treatment on homer gene expression and the identification of intervention targets for the treatment of schizophrenia, Tourette's syndrome, obsessive compulsive disorders, and other

## 3

- psychotic disorders in general. The present invention also concerns the identification of homer protein, metabotropic and sigma receptors as targets for the identification and preparation of medicinal compounds useful for the treatment
- 5 of neurodegenerative processes such as senile dementia of Alzheimer's type, argiophilic grain disease and other senile dementias in general, Parkinson's disease and atypical forms of Parkinson's disease, Huntington's disease, demyelinating diseases such multiple sclerosis, progressive multifocal
- 10 leukoencephalopathy, infection-induced demyelination, and demyelination disorders of genetic origin, amyotrophic lateral sclerosis and HIV induced dementia. The present invention also provides a new target for the treatment of CNS diseases with a evident glial cell reaction. The present invention provides new
- 15 therapeutic targets for the treatment of leukemia and brain tumors.

Regulation of homer gene expression by haloperidol

## 20 Methods

## Animals

Adult Sprague-Dawley rats (250 g) were maintained in normal environmental conditions with free access to food and water *ad libitum*.

## 25

## Treatment

- Animals were treated with haloperidol (0.5 and 5 mg/kg) or saline. Naive (non-treated) animals were used as additional controls. Animals were also treated with amphetamine or with
- 30 amphetamine and MPEP or SIB-1893 as described in example 18b.

Example 1. Tissue preparation.

- The animals were sacrificed by decapitation 90 minutes after
- 35 treatment. Whole brain was rapidly removed from the skull, frozen in dry ice and stored at -30°C. Rat brain sections (15 µm) were obtained at -20°C in a cryostat, mounted in gelatine-coated slides and stored at -30°C until used.

## 40 Example 2. Synthesis and labelling of oligonucleotides

Oligonucleotide sequences 40 base length were selected from the homer rat gene sequence published in the GENEBANK (accession number: U92079). Antisense oligonucleotide

- 45 homerAT (5'-CTCAGTGCCTGAAGATAGGTGTTCCCCCATTTTG-CCCA-3') was complementary to bases 559 to 599. Antisense oligonucleotide homerBT (5'-GTTCCATCTTCTCCT-GCGACTTCTCCTTGCCAGCCGAGC-3')

- was complementary to bases 894-934. The corresponding sense oligonucleotides (homerAS and homerBS) were used as control probes. Synthesis was performed in a 395 Applied Biosystems DNA Synthesizer. Purified oligonucleotides were dissolved in DEPC-treated water and stored at -30°C until use. Labelling of synthetic oligoprobes was performed using a deoxynucleotidyl-transferase (TdT) labelling kit (NEP-100, NEN, Bad-Homburg, Germany). Briefly, 5 pmol of probe were incubated (2 hr) with TdT (36 units) in presence of 50 pmol of [<sup>35</sup>S] dATP (NEN) and Cl<sub>2</sub>Co.
- 10 The reaction was stopped and the labelled oligonucleotides purified by column chromatography. Labelling efficacy was checked by paper chromatography in phosphate buffer system.

#### Example 3. In situ hybridization histochemistry

- 15 Homer gene expression in rat brain was studied using in situ hybridization techniques well known in the art. In situ hybridization was performed as previously described (Garcia-Ladona et al., 1994). Briefly, Tissue sections were fixed with paraformaldehyde in PBS and treated (min) with pronase (0.25 mg/l), rapidly washed, dehydrated by consecutive incubation with 60%, 80%, 90% and 100% ethanol, rapidly dried and used for hybridization. Brain sections were incubated with 100 µl of 10 mM Tris-HCl hybridization buffer (pH: 7.5) containing 50% formamide, 0.6 M NaCl, 1x Denhardt's solution, 1 mM EDTA, 0.58 mg/ml yeast t-RNA, 10% dextran sulphate, 10 mM DTT and [<sup>35</sup>S]-labelled oligoprobe (13500 c.p.m./µl). A nescofilmR strip was deposited over hybridization mixture to avoid evaporation and the slides incubated overnight at 42°C in a humid chamber. Afterwards,
- 30 hybridization solution was washed out from the slides and non specific hybridization was eliminated by incubation (4x 1 hr) with 10 mM Tris-HCl pH:7.5 containing 0.6 M NaCl and 1 mM EDTA at 60°C. Nucleic acids in the sections were precipitated by two consecutive washes with 70% and 95% ethanol containing 0.3 M ammonium acetate. The slides were dried and exposed with a X-O-mat X-ray film (Kodak). The films were developed after 48 hr. Measurements of autoradiographic images were performed with an image analysis system equipped with SigmaScanpro software (Jandel Scientific).

40

#### Example 4. Cell culture methods

- The culture of HEL cells was performed using conditions commonly used by the art. Cells were grown in RPMI media containing serum (10%), penicilin (90 unit/ml) and streptomycin (90 mg/ml).

## 5

Culture conditions were 95% humidity and 5% CO<sub>2</sub>. Cell population was split 1/3 every 3 days.

- 5 The culture of glioma A-172 cells (ATCC) was performed using conditions commonly used by the art. Cells were grown in medium containing special supplement (DMEM NUT F-12) containing serum (10%), penicillin and streptomycin. Culture conditions were 37°C, 95% humidity and 5% CO<sub>2</sub>. Cells were grown till confluence and then split.

- 10 The culture of glioma U87 cells (ATCC) was performed using conditions commonly used by the art. Cells were grown in medium containing special supplement (DMEM NUT F-12) containing serum (10%), penicillin and streptomycin. Culture conditions were 37°C, 95% humidity and 5% CO<sub>2</sub>. Cells were grown till confluence and then split.

Example 6. Culture of glial cells

- 20 The culture of glial cells was performed by methods clearly described in the art. Whole brains from new born rats were dissected out of the skull in aseptic conditions. Brain areas (striatum, cortex and hippocampus) were dissected and immersed in culture medium without serum. Small pieces of tissue were obtained by scatching with micro forceps. Tissue was homogenated by 10 passages through a 1.2 mm gauge. Cell suspensions were centrifugated and the pellet resuspended in culture medium and plated in petri dishes. Cell were incubated for 2-3 hr at 37°C, 95% humidity, 5% CO<sub>2</sub>. Non-attached cells were aspirated and centrifugated. Pelleted cells were resuspended in medium containing 10% serum and antibiotics and then plated in petri dishes for 10-20 days. Medium was renewed every 3-4 days.

Example 7. RNA isolation

- 35 The isolation of RNA from different cell and tissue sources was performed using a single-solution extraction method commercially available (Trizol<sup>®</sup>, Gibco Life Sciences). The cells were washed with RNase free PBS and the homogenized with Trizol<sup>®</sup>. The total RNA present in each sample was determined by measuring light absorbance and extrapolating to a calibration curve.

Example 8. RT-PCR methods

- 45 Homer gene expression in human glioma cells (A-172, U87), in brain areas, in glial cell cultures, and in HEL cells was studied using reverse transcriptase and polymerase chain reaction methods

## 6

of common use in the art. A commercially available RT-PCR kit was used (Ready to go, Amersham/Pharmacia Biotec). Protocols were adjusted to the supplier recommendations. RT-PCR was performed using 1 mg total RNA. Primers were selected by analysing the  
5 homer gene sequence (accession number: U92079) logged in the GENEBANK. Thermocycler was programmed as follows (RT 30 min at 42°C, PCR 23 cycles of 1 min 95°C, 1 min 60°C, 2 min 72°C). At the end of PCR cycles, samples of PCR mixture were electrophoresed in agarose gels as described by commonly used protocols.

10

#### Example 9. Analysis of nucleic acids by gel electrophoresis

Gels for the analysis of RT-PCR products were prepared by melting  
agorose (1%) in electrophoresis buffer (Current Protocols in

15 Molecular Biology, John Wiley & Sons, 1995) at 60°C. PCR samples were mixed with sample buffer containing and loaded (1µg/lane). Electrophoresis was run 60 min. and separation of fragments was checked by u.v illumination.

#### 20 Example 10. Analysis of gene sequences

The analysis of gene sequences obtained by RT-PCR was performed by using software commercially available or in the public domain. The sequence identification was performed by homology search  
25 using DNASIS software (HITACHI) and software available in the public GENEBANK.

#### Results

#### 30 Homer gene expression is up regulated after antipsychotic treatment.

The in situ hybridization images showed that homer mRNA transcripts were present in higher levels in haloperidol treated  
35 animals than in controls (Fig. 1) The differences, on optical density measured in autoradiographic films, between control and treated animals are shown in figure 2. Homer gene expression induced by treatment with amphetamine is reduced in brain frontal cortex by administering compounds MPEP and SIB-1893.

40

Homer gene is expressed by neurotumoral cells

The fragments of DNA obtained after RT-PCR using RNA from A-172 and U87 cells and specific primers complementary to homer gene  
45 sequences fully agree in their size with the expected values of the homer gene fragments. The sequencing of RT-PCR products demonstrate their identity as sequences located in the homer



## 7

gene. Homology analysis demonstrate some punctual differences with the rat homer gene sequences (see appendix 1).

Homer gene is expressed by Chinese Hamster Ovary Cells.

5

The fragments of DNA obtained after RT-PCR using RNA from CHO cells and specific primers complementary to homer gene sequences fully agree in their size with the expected values of the homer gene fragments. The sequencing of RT-PCR products demonstrate their identity as sequences located in the homer gene. Homology analysis demonstrate some punctual differences with the rat homer gene sequences (see appendix 1).

10

Homer gene is expressed by human eritroleukemic (HEL) cells.

15

The fragments of DNA obtained after RT-PCR using RNA from HEL cells and specific primers complementary to homer gene sequences fully agree in their size with the expected values of the homer gene fragments. The sequencing of RT-PCR products demonstrate their identity as sequences located in the homer gene. Homology analysis demonstrate some punctual differences with the rat homer gene sequences (see appendix 1)

20

Example 11 provides a method to detect the efficacy of antisense oligonucleotides in cultured cells.

25

Cells were cultured as described in example 4. Cells were treated for different times with different concentrations of antisense, sense and missense oligonucleotides complementary to human homer gene sequences. The presence of homer protein were determined by western blots (example 13) and immunocytochemistry (example 12) using specific antibodies directed against human homer polypeptide sequences. The effects of antisense oligonucleotides complementary to human homer gene sequences were determined by using different methods of to determine second messenger signal pathways activation (see examples 14, 15 and 16)

30

35

Example 12 provides a method to detect homer protein by immunocytochemistry. The method was similar to that previously described (Garcia-Ladona 1997)

40

Example 13 provides a method to detect homer protein by using western blots. The method was similar to that described previously (Garcia-Ladona 1997)

45

## 8

Example 14 provides a method to determine the agonist-induced phospholipase C activity.

- The method was basically described previously (Garcia-Ladona et al., 1993). Cells were incubated for 24 hr with 0.125  $\mu$ M [3H]myoinositol. Non incorporated [3H]myo-inositol was eliminated from the medium and replaced with Krebs-Henseleit buffer containing 10 mM LiCl. After 10 min incubation, different agonist were added for 45 min. The reaction was stopped by replacing the stimulation medium with distilled water. In the case of tissue samples, the procedure is very similar (Garcia-Ladona et al., 1993). Cells were frozen and stored at -80°C. Production of [3H]myo-inositol monophosphate was determined in cell samples by known methods of chromatographic purification (in Methods in Neurotransmission receptor analysis. Eds H.I. Yamamura, S.J. Enna M.J. Kuhar, Raven Press, 1990). A similar method to determine agonist-mediated phospholipase C stimulation was used by preparing membrane fractions and incubating with [32P]PIP<sub>2</sub> and agonist or antagonists. In this case the production of IP<sub>3</sub> was determined. Methods known of the art have been also optimized for using microtiterplates based systems. Commercially available materials allows to perform high throughput and secondary screening (Amersham pharmacia biotech and NEN).
- 25 Example 15 provides a method to determine agonist-induced elevation of intracellular Ca<sup>++</sup> levels.

- The method used was similar to known methods described in the literature (Nuccitelli R, 1994). Briefly cells were grown in culture bottles as indicated (example 4). Cells were softly scraped before reaching confluence. Cell were labelled with Fura-2 by incubating (30 min) with Fura-2-acetyl-methylester at room temperature. Cells were centrifuged at 180 x g for 10 min and resuspended in DMEM-F 12 medium without serum and incubated at 37°C, 5% CO<sub>2</sub> and 95% humidity for 45 min. Intracellular calcium levels were determined in a fluorescence microscope equipped with an appropriate filter exchange system ( Olympus / Hamamtsu ). Fluorescence ratio ( A340 / A380 ) was measured using Argus® software. Intracellular calcium levels were monitored in single cells for a short period in the absence of drugs and then for 30 min after the addition of selected compounds.

## 9

Example 16 provides a method to measure agonist-induced cAMP production in cultured cells.

- The method was similar to that used currently in the art (In  
5 Methods in Neurotransmission receptor analysis. Eds H.I. Yamamura, S.J. Enna, M.J. Kuhar, Raven Press, 1990). Briefly, cells were incubated for 10 min in culture medium in the absence of serum and antibiotics. Reaction was stopped by heating to 95°C 15 min. Cell samples were frozen and stored at -80°C. cAMP levels  
10 were determined with commercial available kits (Biotrak from Amersham) using the cAMP binding protein. Methods known of the art have been also optimized for using microtiterplates based systems. Commercially available materials allows to perform high throughput and secondary screening (Amersham and NEN).

- 15 Example 17 provides a method to determine the effects of antisense oligonucleotides in vivo.

- Antisense nucleotides were dissolved in physiological saline and  
20 injected intravenously and intracerebroventricularly in animals. Different periods of treatment were established. After treatment, animals were sacrificed and different organs and body fluids used for histological analysis. Animals were used to determine the effects on the homer and other cellular proteins production by  
25 using immunohistochemical, immunocytochemical and western blot methods described in the examples 12 and 13 respectively. Animals were also used to determine the effect on cell signalling processes by methods exemplified in the examples 14 and 16. A group of animals were tested in behavioural models for  
30 antipsychotic effects (see examples).

Example 18a provides a method to determine the efficacy of a compound in an animal model for prediction of antipsychotic activity.

- 35 The method have been reported in the literature by injecting PCP in animals. Animals were treated before and after PCP with different doses of compound. Afterwards a set of animals were used in behavioural models predictive of psychotic activity to  
40 asses compound's efficacy (example 28). An additional set of animals may be used for the analysis of different receptor activity as described in example 14 and 17.

## 10

Example 18b:

- Methamphetamine antagonism was tested by recording methamphetamine-induced hyperactivity (measurement of locomotor activity).
- Mice (NMRI, 21-26 g; female) received drug or vehicle, intraperitoneally, 30 min prior to methamphetamine (MET, 1 mg/kg po). Locomotor activity was recorded in cages equipped with light beams (2 mice/cage/dose) for 1 h, starting 30 min after MET. For calculation of drug effects the counts recorded during the time period of 15 to 60 min after start of the measurement were selected. The control value was calculated as the difference between the counts recorded for the methamphetamine group and the vehicle-treated group during the same time period.

Cataleptogenic effects

- The cataleptic syndrome was tested according to the method described by Wirth et al. (Arch. Int. Pharmacodyn. Ther. 115, 1-31, 1958). The animals (male rats, Sprague-Dawley bodyweight 210-225 g; n/dose=4) were regarded as cataleptic if they remained in an abnormal posture for more than 15 sec, i.e. one foreleg on a 9-cm-high piece of cork. The animals were tested 30m 60, 120, 180 and 300 min after intraperitoneal administration of the test compound.

Results

Table

Compound	Methamphetamine antagonism ED50 [mg/kg ip]	Cataleptogenic effect [x/n] at dose [mg/kg ip]
BSF 470213	53.4	0/4 at dose 100
BSF 470214	51.2	0/4 at dose 100

- The test compounds showed a dose-dependent antagonism of methamphetamine-induced hyperactivity. No induction of catalepsy was found.
- The compounds used are SIB 1893 (2-methyl-6-(2-phenylethenyl)-pyridine = BSF 470213 and MPEP (2-methyl-8-(phenylethynyl)-pyridine hydrochloride = BSF 470214).

## 11

Example 19 provides a method to determine the efficacy of compounds on preventing neuroleptic induced malignant syndrome.

Different animals models are may be used including haloperidol induced catalepsy and chronic treatment with haloperidol. Animals may be subsequently used to determine behavioural deficiencies (example 28, anatomical neurodegeneration and changes in gene expression (as exemplified in examples 1-3, 12, 13)).

- 10 Example 20 provides a method to determine the efficacy of a compound in the treatment of demyelinating diseases. Different animals models of demyelination are known of the art. Demyelination was induced by injecting antibodies. Animals were treated with the compounds after the induction of myelin loss.
- 15 Animal brains were used to determine the levels and integrity of myelin.

Example 21 provides a method to determine the efficacy of a compound in the treatment of demyelinating diseases.

- 20 The method consist in the use of oligodendrocytes-enriched cell cultures from normal and demyelinated animals (jimpy mutation) as described (Garcia-Ladona et al., 1997). Cells were treated with different doses of the compound and the integrity of myelin
- 25 sheets and the levels of myelin markers were determined.

Example 22 provides a method to predict efficacy of a compound in Parkinson's disease. Different models were used, MTP induced Parkinsonism in mice, 6-OHDA induced degeneration in substantia nigra (Drug Discovery and Evaluation, Eds. H.G. Vogel and W.H. Vogel 1997).

- 30 Example 23 provides a method to determine the beneficial effects of a compound in senile dememntia of Alzheimer type.

35 The method is known of the art and consists on the use of transgenic animals overproducing b-amyloid protein. Animals can be treated with compounds and analysed for memory deficits and other behavioural parameters.

- 40 Examples 24 provides a method to identify compounds with high affinity to metabotropic receptors. Similar methods have been extensively described in the literature (Drug Discovery and Evaluation, Eds. H.G. Vogel and W.H. Vogel 1997).

45

## 12

- Binding saturation kinetics of a radioligand. Membranes (200  $\mu$ l) were incubated (600  $\mu$ l total volume) in 100 mM Tris-HCl (pH: 7.7) containing 1 mM EDTA (buffer B) with increasing concentrations of radioligand in the presence (non specific binding) or in the absence (total binding) of an antagonist at high concentration. Incubation was prolonged for 90 min at 30°C; afterwards, samples were filtered, using a Skatron filtration system, through GF/B filters embedded in 0.3% poly-ethylenimide. Filters were washed with 9 ml of buffer B at 4°C. Radioactivity retained in the filters was measured by liquid scintillation counting using 5 ml Ultima-Gold.

- Displacement of radioligand binding: Binding displacement experiments were performed basically as reported in other studies. Membranes (200  $\mu$ l) were incubated in buffer B (600  $\mu$ l total volume) with increasing concentrations of the selected compounds in the presence of a selected concentration of radioligand. After a 87 min incubation period at 30°C, samples were filtered with buffer B at 4°C through GF/B filters. Filters were washed with 9 ml buffer B. Radioactivity retained in the filters was determined as above. Total binding was defined as radioligand binding observed in the absence of other compounds. Non specific binding was defined as radioligand binding levels observed in the presence of antagonist in high concentration.
- Analysis of radioligand binding data Saturation parameters radioligand were estimated both by no-linear regression analysis and from linear plots by using SigmaPlot software (Jandel Scientific Germany). Displacement curves were build from radioactive binding levels expressed as percentage of total binding.  $IC_{50}$  and Hill coefficients ( $n_H$ ) were estimated by non linear regression analysis.

- Example 25 provides a method to identify compounds with agonist activity at different receptors by measuring agonist stimulated [ $^{35}$ S]GTP $\gamma$ S binding.

- The methods are very well known in the art (Hilf and Jakobs 1992). Briefly, agonist activity was determined by measuring drug-induced changes of [ $^{35}$ S]GTP $\gamma$ S binding in membranes from cells. Cell membranes were obtained as indicated above. [ $^{35}$ S]GTP $\gamma$ S binding assay was performed using a previously described method with minor modifications. Cell membranes (12  $\mu$ g) were incubated with 50 mM trietanolamine-HCl buffer (pH: 7.5) containing 6.75 mM  $MgCl_2$ , 150 mM NaCl, 1 mM DTT, 1 mM EDTA, 10  $\mu$ M GDP and [ $^{35}$ S]GTP $\gamma$ S (nM). Following 60 min incubation, at 30°C, in the absence or in the presence of different drug concentrations, the assay mixture

## 13

- (100  $\mu$ l) was rapidly filtrated through GF/B filters using a Skatron® filtration device. Filters were quickly washed with 9 ml of 50 mM Tris-HCl buffer (4°C) containing 100 mM NaCl, 5 mM  $MgCl_2$  at pH: 7.5. Radioactivity retained in the filters was determined by scintillation spectrometry using Ultima-Gold scintillation liquid. Drug activities were expressed as % of basal binding levels measured in the absence of the compound. Curves were fitted using a non-linear regression analysis software (Sigma Plot, Jandel Scientific, Germany) to the general equation  $E = (L * E_{max}) / (L + EC_{50})$  where E is the effect, L is ligand concentration,  $E_{max}$  is the maximal effect and  $EC_{50}$  is the concentration inducing 50% of the maximal effect.

Example 26 provides a method to prepare cell membranes.

- 15 Different methods have been described in the art (Biological Membranes, Eds). Membranes were prepared from cell cultures. Cells were softly scraped from bottle surface and centrifuged 10 min at 180 x g. Cell pellets were resuspended in 5 mM Tris HCl buffer (pH: 7.6), containing 5 mM EDTA, 5 mM EGTA, 0.1 mM PMSF and 3 mM benzamidine (buffer A) and incubated for 15 min at 4°C. Cell suspension was homogenized (6 x 3s) in an Ultraturrax (15000 r.p.m.) and centrifuged for 1 min at 1000 x g and 4°C. Nuclear pellet was resuspended in buffer A, homogenized and 25 centrifuged as above. Supernatants of both steps were collected and centrifuged for 20 min at 40000 x g at 4°C; pellet was resuspended in buffer A and homogenized (1 x 15s). Membrane suspension was centrifuged for 20 min at 40000 x g at 4°C. The resulting pellet was resuspended in buffer A containing 10% 30 glycerol and 1% bovine serum albumin. Aliquots were frozen and stored at -80°C until use.

Example 28 provides a method to study psychosis by using animal models.

- 35 The method has been described in the literature (Swerdlow N.R. et al., 1996). Animals may be treated by different routes with compounds and checked for behavioural parameters to determine efficacy as antipsychotic agents (Drug Discovery and Evaluation, 40 Eds H.G. Vogel and W.H. Vogel, 1997).

Example 29 provides a method to study psychosis by using an animal model regarding neonatal lesion.

- 45 The method is very well known of the art (Lipska et al., 1993). Animals are lesioned with a excitotoxin in the central hippocampal area in the neonatal period and are used in the

## 14

adulthood. Animals may be treated with compounds and checked for different behavioural parameters including prepulse inhibition paradigm (example 28).

- 5 The term human homer gene refers to polynucleotide sequences with homology to the so-called rat homer gene. The term human homer protein refers to a peptide resulting of the translation of human homer gene sequences using the natural code.
- 10 The present invention provides four partial nucleotide sequences of human homer gene.

The present invention also provides partial nucleotide sequences of homer gene of hamster and homer protein expressed by

- 15 astrocytes in culture.

Nucleic acid sequences according with the present invention can be used to design anti-sense oligonucleotides and to determine aminoacid sequences of polypeptides encoded by them.

- 20 Modified antisense oligonucleotide synthesis is well known in the art (Gene Therapy, Eds, J.T. August, 1997). Different oligonucleotide modifying groups can be used. Modified anti sense oligonucleotides will be tested to determine
- 25 time-life, bioavailability an efficacy on inhibiting the homer protein translation (examples 11, 12 and 13).

The human homer peptides of present invention can be used to raise specific antibodies. The present invention also includes

- 30 the use of antibodies or antisense oligonucleotides raised against human homer protein as therapeutic compounds and as probes to detect human homer protein and gene respectively (see examples 1, 3, 12 and 13). Where probes means unlabelled or isotope or non-isotopically labelled compounds that bind to a
- 35 specific target. The antibodies against the human homer sequence can be obtained using the known protein chemistry techniques.

The present invention also includes a method to disclose the role of homer protein in neurotumoral and leukemic cells for example

- 40 in invasive activity, proliferation, cell survival, apoptosis, signal transduction, genomic activity toxicity, sensibility to infectious agents and biological and chemical compounds.

The present invention includes a method to study the role of

- 45 homer protein on the activity of other cell signalling mechanisms by using HEL cells and A-172 and U97 human glioma cells.



15 The present invention includes a method to detect human homer protein in human brain by using antisense oligonucleotides or antibodies indicated above. Examples of such methods are reported in examples 1, 2, 3, 12 and 13).

20 The present invention provides a method to detect human brain (glial) tumors by using antibodies directed against human sequences of homer or using isotope- or non-isotopically-labelled oligonucleotide probes complementary to human homer sequence. Methods are exemplified in examples 1, 2, 3, 12 and 13.

25 The present invention includes a method to detect human glioblastoma and leukemic cells in culture using antibodies directed to human homer protein or antisense nucleotides complementary to human homer protein gene sequences. Methods

30 for such detection are reported in examples 2, 3, 4, 12 and 13.

The present invention includes a method to treat human brain  
35 degenerative processes by using compounds modifying homer gene  
expression. Methods to identify such compounds are exemplified in  
the examples.

Where degenerative processes are ischemia of vascular origin, ischemic states induced by brain or spinal cord trauma, epilepsy, psychotic disorders including schizophrenia, senile dementia including senile dementia of Alzheimer's type, demyelinating diseases, HIV induced dementia and neurodegeneration involving excitatory aminoacid receptors and neurodegeneration involving reactive glial cells. Degenerative processes is a term used also as synonym of neurodegeneration and of neurodegenerative disease.

## 16

The present invention also provides a method for treating or prevent neurodegeneration by using compounds facilitating (modifying) the interaction between homer and other components of the cell signalling pathways, genetic information or cellular proteins. Where genetic information is any DNA or RNA sequences present in the cell.

The present invention also provides a method for treating or prevent neurodegeneration by using compounds facilitating the interaction between homer and metabotropic receptors. Such compounds may be identified by coincubating membranes from cells expressing metabotropic receptors, purified human homer protein, antibodies directed to homer protein and by using a commercial methods (SPA, Amersham or flashplates) to detect binding activities.

The present invention also provides a method for treating or prevent neurological deficits observed in patients suffering of neurodegenerative diseases by using agonist/antagonist of metabotropic receptors. Such compounds may be identified using current membrane binding methods as described.

The present invention also provides a method for treating or prevent neurological deficits observed in patients suffering of schizophrenia or any other psychotic disorders by using antagonists of metabotropic receptors. Such compounds will be identified using membrane binding methods described in the examples. Suitable compounds are those used in example 18b.

The present invention also contains a method to treat and prevent neurological deficits induced after treatment with typical antipsychotics by using antagonist/agonist of metabotropic receptors. Such compounds will be identified using membrane binding methods described in the examples.

The present invention contains a method to modify the expression of homer protein by using compounds with affinity to sigma or dopaminergic receptors. The compounds will be selected by using in the examples. Their efficacy will be determined by using the methods described in examples 1, 2, 3, 6, 7, 8 and 9.

## Brain tumors and leukemias

The present invention provides a method to treat human brain (glial) tumors by using modified or unmodified antisense oligonucleotides complementary to human homer mRNA sequences or by using antibodies directed against human homer protein,

## 17

- or by using compounds modifying the expression of homer protein acting directly in the transcription or in the translation, protein folding, protein maturation, protein turnover processes, or by using compounds that modify the interaction between homer and any other cellular protein or peptide included those involved in signalling processes, and genetic information. Where genetic information is DNA or RNA sequences. Compounds may active by different treatments including intravenous application, orally treatment or stereotactically injected in the brain tumor area.
- 10 The present invention provides a method to treat leukemias by using modified or unmodified antisense oligonucleotides complementary to human homer mRNA sequences or by using antibodies directed against human homer protein, or by using compounds modifying the expression of homer protein acting directly in the transcription or in the translation, protein folding, protein maturation, protein turnover processes, or by using compounds that modify the interaction between homer and any other cellular protein or peptide included those involved in signalling processes, and genetic information. Where genetic information is DNA or RNA sequences. Compounds may active by different treatments including intravenous application and oral treatment.
- 25 Another subject of the invention is a method for treatment of specific diseases by administering to a human being in need thereof a composition which comprises an effective amount of a compound which induces the homer protein expression. The so induced homer expression products interact with targets which are associated with the respective disease. Another embodiment for the interaction with the disease-associated-target is to administer polypeptides comprising a sequence form the homer expression product. These polypeptides (homer peptides) interact with the homer interaction motif of the respective disease-associated target. The diseases and the corresponding disease-associated targets are disclosed in the claims.

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## 18

## Appendix 1

Sequence of homer gene amplified from HEL mRNA and its correspondign aminoacid sequence

A CTCGAGCTCA TGTCTTCCAA ATTGACCCAA ACACAAAGAA GAACTGGGTA  
 CCCACCAGCA AGCATGCAGT TACTGTGTCT TATTTCTATG ACAGCACAAAG AAATGTGTAT  
 AGGATAATCA GTTTAGATGG CTCAAAGGCA ATAATAAATA GTACCATCAC CCCAAACATG  
 ACATTTACTA AAACATCTCA GAAGTTTGGC CAGTGGGCTG ATGCCGGGC AAACACCGTT  
 TATGGATTGG GATTCTCTCT TGAGCATCAT CTTTCGAAAT TTGCAGAAAA GTTTCAGGAA  
 TTAAAGAAG CTGCTCGACT AGCAAAGGAA AAATCACAAG AGAAGATGGA ACTTACCAGT  
 ACACCTTCAC AGGAATCCGC AGCGGGGAT CTTCAGTCTC CTTTAACACC GAAAGTA

STRAHVFOID PNTKKNWVPT SKHAVTVSYF YDSTRNVYRI ISLDGSKALI NSTITPNMTF  
 TKTSQKFGQW ADSRANTVYG LGFSSEHLS KFAEKQFEK EAARLAKEKS QEKMELTSTP  
 SQESAGGDLQ SPLTPKXVG

Appendix 2 Homer gene sequence amplified from U87 mRNA and its corresponding aminoacid sequence

A TGGGGGAGCA ACCTATCTTC AGCACTCGAG CTCATGTCTT CCAAAATGAC  
 CCAAACACAA AGAAGAACTG GGTATCCACC AGCAAGCATG CAGTTACTGT GTCTTATTTT  
 TATGACAGCA CAAGAAATGT GTATAGGATA ATCAGTTTAG ATGGCTCAAA GGCAATTAATA  
 AATAGTACCA TCACCCCAAA CATGACATTT ACTAAACAT CTGAGAGTTT TGCCAGTGG  
 GCTGATAGCC GGGCAACAC CGTTTATGGA TTGGGATTCT CCTCTGAGCA TCATCTTTTCG  
 AAATTTGCGAG AAAAGTTTCA GGAATTTAAA GAAGCTGCTC GACTAGCAAA GGAAAAATCA  
 CAAGAGAAGA TGGAACTTAC CAGTACACCT TCACAGGAAT CCGCAGGCGG GGATCTTCAG  
 TCTCCTTTAA CACCAGAAAG TA

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL DGSKAIINST  
 ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHLSKFA EKQFEKFAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSL TPES

Appendix 3. Homer gene sequence amplified from rat astrocyte mRNA and its corresponding amino acid sequence

ATGGGGGA ACAACCTATC TTCAGCACTC GAGCTCATGT CTTCCAGATC GACCCAAACA  
 CAAAGAAGAA CTGGGTATCC ACCAGCAAGC ATGCAGTTAC TGTGTCTTAT TTCTATGACA  
 GCACAAGGAA TGTGTATAGG ATAATCAGTC TAGACGGCTC AAAGGCAATA ATAAATAGCA  
 CCATCATCC AAACATGACA TTTACTAAAA CATCTCAAAA GTTTGGCCAA TGGGCTGATA  
 GCCGGGCAAA CACTGTTTAT GGACTGGGAT TCTCCTCTGA GCATCATCTC TCAAATTTG  
 CAGAAAAGTT TCAGGAATTT AAAGAAGCTG CTCGGCTGGC AAAGGAGAAG TCCGAGGAGA  
 AGATGGAAC TACCAGTACC CCTTCACAGG AATCAGCAGG AGGAGATCTT CAGTCTCCTT  
 TAACACCAGA

MGEQPIFSTR AHVFQIDPNT KKNWVPTSKH AVTVSYFYDS TRNVYRIISL DGSKAIINST  
 ITPNMTFTKT SQKFGQWADS RANTVYGLGF SSEHLSKFA EKQFEKFAA RLAKESQEK  
 MELTSTPSQE SAGGDLQSL TP

Appendix 4 Homer gene sequence amplified from CHO cells mRNA and its corresponding amino acid sequence

FSTRAHVFI DPNTKKNWVP TSKHAVTVSY FYDSTRNVYR IISLDGSKAI INSTITPNMT  
FTKTSQKFGQ WADSRANTVY GLGFSSEHLL SKFAEKQEF KEAARLAKEK SQEKMELTST  
PSQESAGGDL QSPLTPKG

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H.G. Vogel and W.H. Vogel Eds.	1997					

## 21

What is claimed is:

1. A method for treatment of neuroleptic syndrome or psychosis  
5 in a human being comprising administering to said human being a composition comprising an effective amount of a homer expression modifying compound.
2. A method according to claim 1, whereby the psychosis is  
10 schizophrenia.
3. A method for treatment of oncological disorders in a human being comprising administering to said human being a composition comprising an effective amount of a homer  
15 expression modifying compound.
4. A method for treatment of neuroleptic induced disorders or psychosis in a human being comprising administering to said human being a composition comprising an effective amount of a  
20 compound which interacts with metabotropic receptors.
5. A method according to claim 4, whereby the interaction effects an inhibition of the metabotropic receptor.
- 25 6. A method according to claim 4, whereby the compound is 2-methyl-6-(2-phenylethenyl)pyridine or 2-methyl-6-(phenyl-ethynyl)pyridine hydrochloride.
7. A method for treatment of neuroleptic malignant syndrome in a  
30 human being comprising administering to said human being a composition comprising an effective amount of a compound which interacts with metabotropic receptors and/or homer.
8. An isolated nucleic acid as disclosed in appendix 1 to 4.  
35
9. A method of screening of new compounds which modify homer expression using, He1 cells, A-172 cells, U97 cells or glial cells as described in example 3-9.
- 40 10. A method of screening of new compounds modifying homer and metabotropic receptors or homer and other cell signalling proteins using glial cells, or He1 cells, or A-172 cells or U97 cells as described in examples 13, 14 and 24 to 26.

## 22

11. A method of treatment of CNS disorders in a human being via glial cells comprising administering to said human being a composition comprising an effective amount of a compound, which is able to act on glial cells and which is able to modulate the expression of homer.
12. A method for the treatment of a disease in a human being comprising administering to the said human being a composition comprising an effective amount of a compound inducing homer protein expression or a composition comprising an effective amount of a homer peptide interacting with the homer interaction motif located in the disease-associated-target.
13. A method according to claim 12 where the disease is degenerative disease involving cell degeneration or cell death or apoptosis and the disease-associated-target is human homologue of AFG2 protein.
14. A method according to claim 12 where the disease is neurodegenerative disease including ischemia and stroke and the disease-associated-target is insulin like growth factor binding protein.
15. A method according to claim 12 where the disease is hepatic degenerative processes and the disease-associated-target is interleukin 6 binding protein.
16. A method according to claim 12 where the disease is tissue degenerative processes involving cell death or apoptosis including neurodegenerative disease and ischemia-induced degeneration and the disease-associated-target is cytochrome oxidase or cytochrome P450 XIA1 or topoisomerase I.
17. A method according to claim 12 where the disease is human diseases including brain diseases and tumour progression and the disease-associated-target is GPI-linked NAD-arginine ADP-ribosyltransferase.
18. A method according to claim 12 where the disease is metabolic disorder including obesity and the disease-associated-target is pyruvate carboxylase.



## 23

19. A method according to claim 12 where the disease is associated to cholesterol production including senile disorders and the disease-associated-target is low density lipoprotein receptor related protein.
- 5 20. A method according to claim 12 where the disease is a human neurodegenerative disease and the disease-associated-target is human F-spondin.
- 10 21. A method according to claim 12 where the disease is herpes simplex infection and propagation and the disease-associated-target is DNA helicase/primase complex associated protein.
- 15 22. A method according to claim 12 where the disease is herpes simplex virus infection and propagation and the disease-associated-target is UL56 protein.
23. A method according to claim 12 where the disease is varicella-zoster virus infection and propagation and the disease-associated-target is serin/threonine-protein kinase.
- 20 24. A method according to claim 12 where the disease is sarcoma virus infection and propagation and the disease-associated-target is sarcoma virus receptor.
- 25 25. A method according to claim 12 where the disease is japanese encephalitis virus infection and propagation and the disease-associated-target is NS proteins.
- 30 26. A method according to claim 12 where the disease is bovine immunodeficiency virus infection and propagation and the disease-associated-target is virion infectivity factor (factor Q).
- 35 27. A method according to claim 12 where the disease is pox virus infection and propagation and the disease-associated-target is protein A11.
28. A method according to claim 12 where the disease is trypanosomiasis and the disease-associated-target is retrotransposable element slacs 45 kd protein.
- 40 29. A method according to claim 12 where the disease is propagation and infection of candida albicans and the disease-associated-target is topoisomerase 1.
- 45

24

Homer a new target of treating psychiatric disorders

Abstract:

5

A method for treatment of psychosis, schizophrenia, oncological disorders, tumors and/or CNS disorders in a human being comprising administering to said human being a composition comprising an effective amount of a compound which interacts with

10 homer or metabotropic receptors are disclosed.

15

20

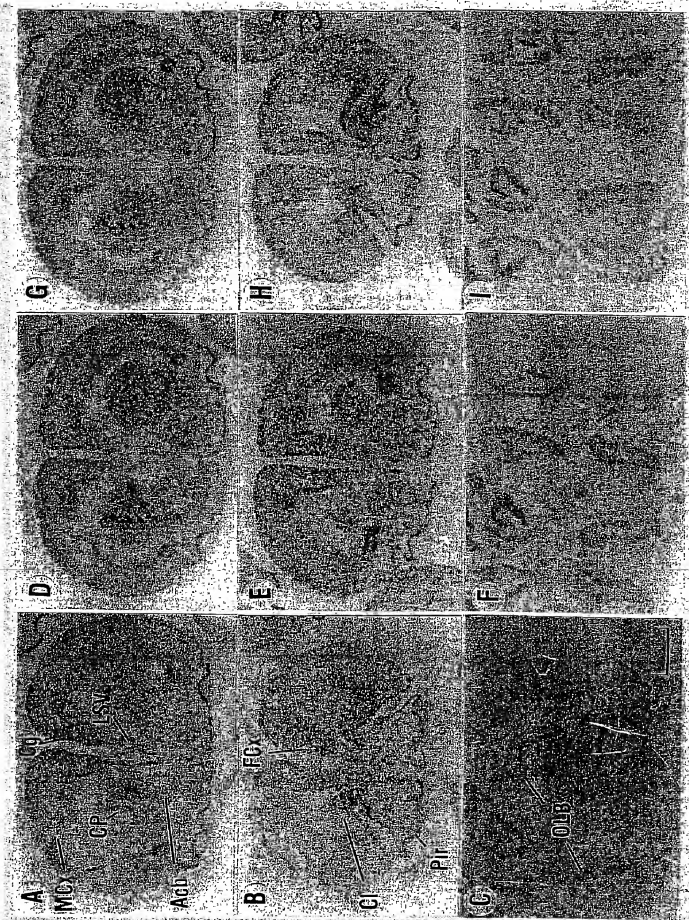
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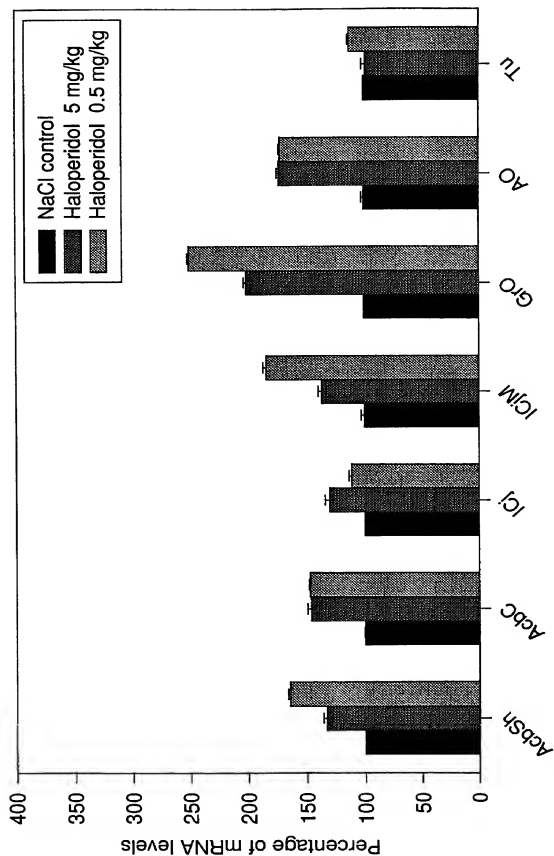
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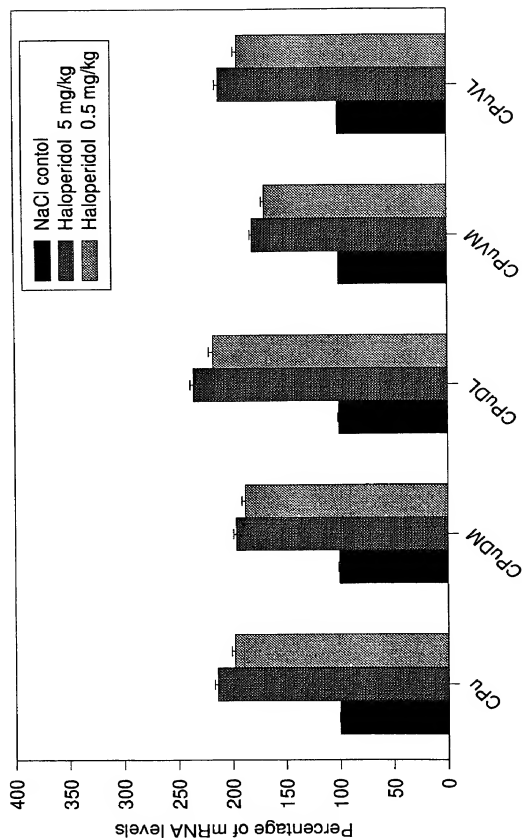
# Homer mRNA levels in limbic regions of rat brain

## Dose-effect experiment



# Homer mRNA levels in striatal regions of rat brain

## Dose-effect experiment



# Homer mRNA levels in cortical regions of rat brain Dose-effect experiment

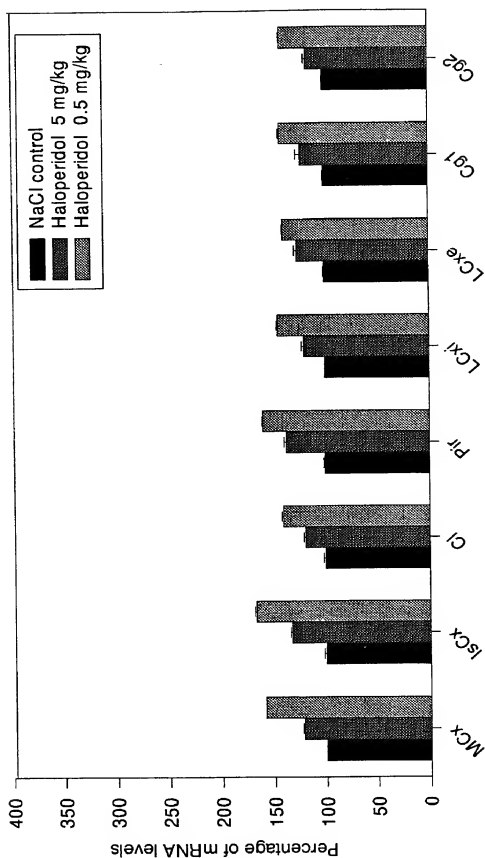


Fig. 2c

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ABBOTT GMBH &amp; CO. KG MFG/JM

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HOMER A NEW TARGET OF TREATING PSYCHIATRIC DISORDERS

the specification of which:

☐ is attached hereto.  
☒ was filed on June 14, 2001 as  
 Application Serial No. 09/868,094  
 and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

☐ In compliance with this duty, attached is an information disclosure statement.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
			Yes	No
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_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
Number	Country	Date Filed		

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which



09888094.020002

occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>PCT/EP99/09989</u>	<u>16 December 1999</u>	<u>Published</u>
<u>Serial No.</u>	<u>Date</u>	<u>Status</u>

I hereby appoint KEIL & WEINKAUF their attorneys and/or agents: Herbert B. Keil, Reg. No. 18,967; Russell E. Weinkauff, Reg. No. 18,495; Gerald H. Bjorge, Reg. No. 32,386; Norman G. Torchin, Reg. No. 34,068; Henry R. Jiles, Reg. No. 32,677; Malcolm J. MacDonald, Reg. No. 40,250; Jason D. Voight, Reg. No. 42,205 the address of all being KEIL & WEINKAUF, 1101 Connecticut Avenue, N.W., Suite 620, Washington, D.C. 20036 (telephone (202)659-0100), with full power to prosecute this application and transact all business in the Patent Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Francisco Javier GARCIA-LADORA

Full name of sole or first inventor

X [Signature] X 15.01.2002

Inventor's signature Date

Kandel, Germany DSX Spain

Residence Citizenship

Brehmstrasse 107 & 76870 Kandel, Germany

Post Office Address

Sandra LANG

Full name of second joint inventor, if any

X [Signature] X

Inventor's signature Date

Ludwigshafen, Germany Germany

Residence Citizenship

Bsp-Grenzweg-Strasse 24, D-67063, Ludwigshafen, Germany

Post Office Address

TOTAL P.05



occurred between the filing date of the prior application and the national or PCR international filing date of this application:

Serial No.	Date	Published Status
PCR/EP92/09989	16 December 1999	

I hereby appoint KEIL & WEINKAUF their attorneys and/or agents: Herbert B. Keil, Reg. No. 18,967; Russell E. Weinkauff, Reg. No. 18,495; Gerald B. Bjorge, Reg. No. 32,386; Norman C. Torchin, Reg. No. 34,068; Henry R. Jiles, Reg. No. 32,677; Malcolm J. MacDonald, Reg. No. 40,250; Jason D. Voight, Reg. No. 42,205 the address of all being KEIL & WEINKAUF, 1101 Connecticut Avenue, N.W., Suite 620, Washington, D.C. 20036 (telephone (202) 659-0100), with full power to prosecute this application and transact all business in the Patent Office connected therewith.

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Francisco Javier GARCIA-LADONA

Full name of sole or first inventor

<u>K</u>	<u>X</u>
Inventor's signature	Date
<u>Kandel, Germany</u>	<u>Spain</u>
Residence	Citizenship

Brehmstrasse 107 i 76870 Kandel, Germany

Post Office Address

Sandra LANG

Full name of second joint inventor, if any

<u>X</u> <u>Sandra Lang</u>	<u>X</u> <u>17.01 2002</u>
Inventor's signature	Date
<u>Ludwigshafen, Germany</u>	<u>Germany</u>
Residence	Citizenship

Bismarckstrasse 24, D-67063, Ludwigshafen, Germany

Post Office Address

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LANG, Salandra

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 35 40 45  
 Ile Ile Asn Ser Thr Ile Thr Pro Asn Met Thr Phe Thr Lys Thr Ser  
 50 55 60  
 Gln Lys Phe Gly Gln Trp Ala Asp Ser Arg Ala Asn Thr Val Tyr Gly  
 65 70 75 80  
 Leu Gly Phe Ser Ser Glu His His Leu Ser Lys Phe Ala Glu Lys Phe  
 85 90 95

3

Gln Glu Phe Lys Glu Ala Ala Arg Leu Ala Lys Glu Lys Ser Gln Glu  
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Lys Met Glu Leu Thr Ser Thr Pro Ser Gln Glu Ser Ala Gly Gly Asp  
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 1 5 10 15

Asp Pro Asn Thr Lys Lys Asn Trp Val Pro Thr Ser Lys His Ala Val  
 20 25 30

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35 40 45

Ser Leu Asp Gly Ser Lys Ala Ile Ile Asn Ser Thr Ile Thr Pro Asn  
50 55 60

Met Thr Phe Thr Lys Thr Ser Gln Lys Phe Gly Gln Trp Ala Asp Ser  
65 70 75 80

Arg Ala Asn Thr Val Tyr Gly Leu Gly Phe Ser Ser Glu His His Leu  
85 90 95

Ser Lys Phe Ala Glu Lys Phe Gln Glu Phe Lys Glu Ala Ala Arg Leu  
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&lt;211&gt; 142

&lt;212&gt; PRT

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&lt;220&gt;

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&lt;222&gt; 1...142

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35 40 45Ser Leu Asp Gly Ser Lys Ala Ile Ile Asn Ser Thr Ile Thr Pro Asn  
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85 90 95Ser Lys Phe Ala Glu Lys Phe Gln Glu Phe Lys Glu Ala Ala Arg Leu  
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&lt;211&gt; 414

&lt;212&gt; DNA

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tttactaaaa catctcaaaa gtttggccag tgggctgata gccgggcaaa tactgtttat 240
ggattgggat tctcctctga gcatcatctt tccaaatttg cagaaaagtt tcaggaattt 300
aaagaagctg ctgctcttgc aaaggagaag tcacaggaga agatggaact gaccagtaca 360
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Asn Trp Val Pro Thr Ser Lys His Ala Val Thr Val Ser Tyr Phe Tyr
20          25          30

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Asp Ser Thr Arg Asn Val Tyr Arg Ile Ile Ser Leu Asp Gly Ser Lys
35          40          45

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Ala Ile Ile Asn Ser Thr Ile Thr Pro Asn Met Thr Phe Thr Lys Thr
50          55          60

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Ser Gln Lys Phe Gly Gln Trp Ala Asp Ser Arg Ala Asn Thr Val Tyr
65          70          75          80

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Gly Leu Gly Phe Ser Ser Glu His His Leu Ser Lys Phe Ala Glu Lys
85          90          95

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Phe Gln Glu Phe Lys Glu Ala Ala Arg Leu Ala Lys Glu Lys Ser Gln

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7

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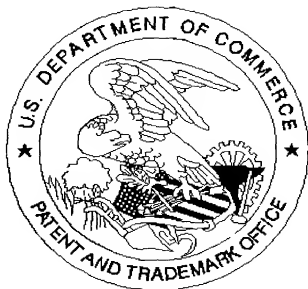
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Some drawing figures are too dark.